

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John J. Hart III, *et al.* Examiner: Dinh, Tan X.

Serial No.: 10/023,424 Group Art Unit: 2627

Filing Date: December 12, 2001 Confirmation No: 2326

Title: OPTICAL STORAGE MEDIUM HAVING DISTORTION REGIONS, AND A  
METHOD OF MODIFYING AN OPTICAL STORAGE MEDIUM TO  
INCLUDE DISTORTION REGIONS

Customer No: 29344

Attorney Docket No: ECD-0004

CERTIFICATE OF ELECTRONIC SUBMISSION

I hereby certify that this correspondence and any correspondence referred to as being attached or enclosed is being sent by electronic submission to the United States Patent and Trademark Office on the date indicated next to my name.

10/25/2001  
Date

Christina Harris

**Via Electronic Submission**

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Commissioner for Patents  
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT AND DEPOSIT ACCOUNT  
AUTHORIZATION

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either:  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ a Statement under 37 CFR 1.97(e), as indicated on the attached Certification Statement, or
- ☐ the fee under 37 CFR 1.17(p), or

- ☐ under 37 CFR 1.97(d) together with:  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
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- ☐ the fee set forth in 37 CFR 1.17(p).

Attached herewith is form PTO/SB/08a:

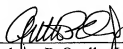
- ☐ Copies of cited references are enclosed.
- ☒ Copies of cited references are not required in accordance with 37 CFR 1.98(a)(2)(ii) (Cite nos. 1 and 2)
- ☐ Copies of cited references are entered in prior application, U.S. Serial No. xx/xxx,xxx, [now U.S. Patent No. x,xxx,xxx] and are not required to be submitted under 37 CFR 1.98(d).

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Respectfully submitted,

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